



1751

PATENT APPLICATION
Mo6245
WW-5515

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICATION OF)
ERIK-ANDREAS KLOHR ET AL) GROUP NO.: 1751
SERIAL NUMBER: 09/787,551)
FILED: MARCH 16, 2001)
TITLE: AQUEOUS FORMULATION FOR)
SURFACE PREPARATION OF)
PAPER AND CARDBOARD)

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97(b)

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

This disclosure statement under 37 CFR 1.97 & 1.98 is submitted before the first Office Action in order that the Patent and Trademark Office may consider the relevancy of certain information to the invention described and claimed in the subject application, and in compliance with the regulations concerning information disclosure statements, copies of documents and any English language equivalents listed on the attached Form PTO are enclosed.

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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an enveloped addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 6/25/01

Date

James R. Franks, Reg. No. 42,552

Name of applicant, assignee or Registered Representative

James R. Franks

Signature

June 25, 2001

Date

Concise statements of the presently understood relevance of each of the documents are found in the specification filed.

This Information Disclosure Statement should not be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, that any document mentioned herein constitutes prior art, or that the documents listed severally or in any combination with one another or with any other information, are believed to render any claim in the subject application prima facie unpatentable.

Respectfully submitted,



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Form PTO 1449 Rev. 7-80	U.S. Department of Commerce Patent and Trademark Office LIST OF PRIOR ART CITED BY APPLICANT (Use Several Sheets If Necessary)	Atty. Docket No. Mo6245/WW-5515	Serial No. 09/787,551
		Applicant Erik-Andreas Klohr et al	
		Filing Date March 16, 2001	Group 1751

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	*2,759,853	08/21/56	Keine			
	AB	*2,776,911	01/08/57	Keine			
	AC	4,994,112	02/19/91	Majewicz et al	106	169	
	AD	5,079,348	01/07/92	Clare et al	536	3	
	AE	5,080,717	01/14/92	Young	106	197.1	
	AF	*5,278,304	01/11/94				
	AG						
	AH						
	AI						
	AJ						
	AK						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION Yes	RECEIVED JUN 28 2001 TECHNOLOGY CENTER 1700
	AL	*0601404	06/15/94	Europe				
	AM	1,621,694	06/24/71	Germany (Abstract Attached)				X
	AN	382,576	08/16/90	Europe				
	AO							
	AP							

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	AR		Coating Conference Proceeding Tappi-Press, Atlanta, (month unavailable), 1992, Pages 105-113, J. S. Malik et al, "A Study Of The Effect Of Water Soluble Polymers On Water Holding And Binder Migration Tendencies Of Coatings"
	AS		
	AT		

EXAMINER

DATE CONSIDERED

EXAMINER Initial if referenced considered, whether or not citation is in conformance with MPEP 609: Draw line through if not in conformance and not considered. Include copy of this form with next communication to applicant.

*Copies of documents previously submitted by WIPO